

**Commonwealth
Simple Grant Agreement**

between
the Commonwealth represented by

[Program Agency Organisation Legal Name]

and

[Program Schedule Organisation Legal Name]

# Grant Agreement

Once completed, this document, together with each set of Grant Details and the Commonwealth General Grant Conditions (Schedule 1), forms an Agreement between the Commonwealth of Australia (the Commonwealth) and the Grantee.

## Parties to this Agreement

### The Grantee

|  |  |
| --- | --- |
| Full legal name of Grantee |  |
| Legal entity type (e.g. individual, incorporated association, company, partnership etc) |  |
| Trading or business name |  |
| Any relevant licence, registration or provider number |  |
| Australian Company Number (ACN) or other entity identifiers |  |
| Australian Business Number (ABN) |  |
| Registered for Goods and Services Tax (GST) |  |
| Date from which GST registration was effective |  |
| Registered office (physical/postal) |  |
| Relevant business place (if different) |  |
| Telephone |  |
| Fax |  |
| Email |  |

### The Commonwealth

The Commonwealth of Australia represented by [Program Agency Organisation Legal name]
[Program Agency Organisation physical address]
ABN [Program Agency Organisation ABN]

## Background

The Commonwealth has agreed to enter into this Agreement under which the Commonwealth will provide the Grantee with one or more Grants for the purpose of assisting the Grantee to undertake the associated Activity.

The Grantee agrees to use each Grant and undertake each Activity in accordance with this Agreement and the relevant Grant Details.

**Scope of this Agreement**

This Agreement comprises:

(a) this document;

(b) the Supplementary Terms (if any);

(c) the General Grant Conditions (Schedule 1);

(d) the Grant Details;

(e) any other document referenced or incorporated in the Grant Details.

Each set of Grant Details, including Supplementary Terms (if any), only applies to the particular Grant and Activity covered by that set of Grant Details and a reference to the ‘Agreement’ in the Grant Details or the Supplementary Terms is a reference to the Agreement in relation to that particular Grant and Activity. If there is any ambiguity or inconsistency between the documents comprising this Agreement in relation to a Grant, the document appearing higher in the list will have precedence to the extent of the ambiguity or inconsistency.

This Agreement represents the Parties' entire agreement in relation to each Grant provided under it and the relevant Activity and supersedes all prior representations, communications, agreements, statements and understandings, whether oral or in writing.

Certain information contained in or provided under this Agreement may be used for public reporting purposes.

**Grant Details**

| Organisation ID: |  |
| --- | --- |
| Agreement ID: |  |
| Program Schedule ID: |  |

# A. Purpose of the Grant

The purpose of the Grant is to:

Conduct a project that will enhance supply chain traceability systems and/ or arrangements that support the export of our agricultural commodities.

This Grant is being provided under, and these Grant Details form part of, the Agreement between the Commonwealth and the Grantee.

The Grant is being provided as part of the [Program Schedule Program Formal External Name] program.

## [Activity Title - Activity ID]

## B. Activity

The Traceability Grants Program aims to:

* broaden the enhancement of the traceability systems that support our agricultural export supply chains and that success of individual projects is measured;
* exporters are able to use the enhancement of our traceability systems to assist in maintaining their competitive edge in export markets;
* more farmers, producers and processors consider exporting, especially those involved in exporting high risk commodities; and
* the traceability system enhancements are cost effective because they utilise existing systems and technologies where possible.

In undertaking this Activity, you must:

* support industry projects that will enhance our agricultural supply chain traceability systems, including developing and trialling technologies that digitise information flow;
* provide an advantage for our exporters in overseas markets to assist them in maintaining their competitive edge
* increase opportunities to export Australian commodities.

You are provided funding to deliver the project that is described in your Activity Work Plan, to be developed in consultation with, and provided to the Department, as specified in Item E – Reporting. You must deliver the project activities in line with the details in the Activity Work Plan.

You have advised us that you are delivering the activity as the lead member of a consortium which comprises of the following consortium members:

**Performance Indicators**

The Activity will be measured against the following Performance Indicator/s:

| **Performance Indicator Description** | **Measure** |
| --- | --- |
| Activities are completed according to scope, quality, timeframes and budget defined in the Activity Work Plan. | The Department and you agree that the Activity Work Plan has been completed as specified or, in case of divergence, to a satisfactory standard. |

**Location Information**

The Activity will be delivered from the following site location/s:

|  | **Location Type** | **Name** | **Address** |
| --- | --- | --- | --- |
|  | Direct Funded |  |  |

**Service Area Information**

The Activity will service the following service area/s:

|  | **Type** | **Service Area** |
| --- | --- | --- |
|  |  |  |

**C. Duration of the Grant**

The Activity starts on [Activity Start Date] and ends on [Activity End Date], which is the **Activity Completion Date**.

The Agreement ends on [Agreement End Date] or when the Commonwealth accepts all of the reports provided by the Grantee and the Grantee has repaid any Grant amount as required under this Agreement, which is the **Agreement End Date**.

**D. Payment of the Grant**

The total amount of the Grant is $[Overall Activity Value for all financial years] excluding GST (if applicable).

A break down by Financial Year is below:

|  |  |
| --- | --- |
| **Financial Year** | **Amount (excl. GST if applicable)** |
| 2020-2021 |  |
| 2021-2022 |  |

The Grantee must ensure that the Grant is held in an account in the Grantee’s name and which the Grantee controls, with an authorised deposit-taking institution authorised under the *Banking Act 1959* (Cth) to carry on banking business in Australia.

The Grantee’s nominated bank account into which the Grant is to be paid is:

|  |  |
| --- | --- |
| **BSB Number** |  |
| **Financial Institution** |  |
| **Account Number** |  |
| **Account Name** |  |

The Grant will be paid in instalments by the Commonwealth in accordance with the agreed Milestones, and compliance by the Grantee with its obligations under this Agreement.

| **Milestone** | **Anticipated date** | **Amount(excl. GST)** | **GST (if applicable)** | **Total(incl. GST if applicable)** |
| --- | --- | --- | --- | --- |
| First payment of 2020-21 | On Execution |  |  |  |
| Second payment of 2020-21 funds subject to the acceptance of the Activity Work Plan due XXXXX 2021 | June 2021 |  |  |  |
| First payment of 2021-22 funds subject to the acceptance of the progress report due on XXXXX 2021 | TBC |  |  |  |
| Second payment of 2021-22 funds subject to the acceptance of the progress or Final report due on XXXXX 2021 | TBC |  |  |  |
| **Total Amount** |  |  |  |  |

# Invoicing

The Grantee agrees to allow the Commonwealth to issue it with a Recipient Created Tax Invoice (RCTI) for any taxable supplies it makes it relation to the Activity.

# E. Reporting

The Grantee agrees to create the following reports in the form specified and to provide the reports to the Commonwealth representative in accordance with the following:

| **Milestone** | **Information to be included** | **Due Date** |
| --- | --- | --- |
| Activity Work Plan | Output-level detail for the funded Activity negotiated with the Department and captured in an Activity Work Plan as per Item E.2 | TBC |
| Activity Work Plan Report | A report with progress against Activity Work Plan, compliance or other reporting as set out in Item E.4 | TBC |
| Financial Acquittal Report | Financial Acquittal from 1 July 2020 to 30 June 2021 as per Item E.3 | 31 October 2021 |
| Activity Work Plan Report | A report with progress against Activity Work Plan, compliance or other reporting as set out in Item E.4 | TBC |
| Activity Work Plan Report | A report with progress against Activity Work Plan, compliance or other reporting as set out in Item E.4 | TBC |
| Final Report | A report on outcomes for the funded Activity based on monitoring and data collection methods agreed between the Parties as set out in Item E.4 | TBC |
| Financial Acquittal Report | Financial Acquittal from 1 July 2022 to 30 June 2023 as per Item E.3 | 31 October 2023 |

### E.1 Performance Reports

None Specified

### E.2 Activity Work Plan

The Activity Work Plan will be negotiated between you and us from time to time as agreed by both parties during the life of the Agreement. Using our Activity Work Plan template it will specify the Activity Details, deliverables, timeframes for delivery and measures of achievement. It may include a budget or other administrative controls intended to help manage activity risks. Once the Activity Work Plan has been agreed by both parties it will form part of the Agreement.

### E.3 Financial Acquittal Reports

A Financial Declaration must be submitted for each financial year funded under this Grant Agreement. A Financial Declaration is a certification from the Grantee stating that funds were spent for the purpose provided as outlined in the Grant Agreement and in-which the Grantee is required to declare unspent funds. The Financial Declaration must be certified by your Board, the Chief Executive Officer or one of your officers, with authority to do so verifying that you have spent the funding on the Activity in accordance with the Grant Agreement.

### E.4 Other Reports

**Activity Work Plan Report**

For the purposes of this Agreement, Activity Work Plan Report means a document to be completed by you, on a template or system provided by us. The preferable way to submit the Report would be through the Grant Recipients Services Portal when it becomes available.

The Activity Work Plan Report template asks for progress on requirements in the Activity Work Plan for the reporting period including any compliance requirements.

**Final Report**

For the purposes of this Agreement, Final Report means a document to be completed by you, on a template provided by us.

The template will include, but not limited to, the following:

* identify if and how outcomes have been achieved;
* include the agreed evidence; and
* identify the total eligible expenditure incurred.

# F. Party representatives and address for notices

# Grantee's representative and address

|  |  |
| --- | --- |
| **Grantee’s representative name** |  |
| **Position** |  |
| **Business hours telephone** |  |
| **E-mail** |  |

# Commonwealth representative and email address

|  |  |
| --- | --- |
| **Business hours telephone** |  |
| **E-mail** |  |

The Parties' representatives will be responsible for liaison and the day-to-day management of the Grant, as well as accepting and issuing any written notices in relation to the Grant.

| **Organisation ID:** |  |
| --- | --- |
| **Agreement ID:** |  |
| **Program Schedule ID:** |  |

**Signatures**

\*Note: See explanatory notes on the signature block over page

**Executed as an Agreement**

|  |
| --- |
| Signed for and on behalf of the Commonwealth of Australia by the relevant Delegate, represented by and acting through [Program Agency Organisation Legal Name], ABN [Program Agency Organisation ABN] in the presence of: |
|  |  |  |
| (Name of Departmental Representative) |  | (Signature of Departmental Representative) |
|  |  | …./…./…… |
| (Position of Departmental Representative) |  |  |
|  |  |  |
| (Name of Witness in full) |  | (Signature of Witness) |
|  |  | …./…./…… |
|  |  |  |
| Signed for and on behalf of [Program Schedule Organisation Legal Name], ABN [Program Schedule Organisation ABN – hide if NULL] in accordance with its rules, and who warrants they are authorised to sign this Agreement: |
|  |  |  |
| (Name and position held by Signatory) |  | (Signature) |
|  |  | …./…./…… |
|  |  |  |
| (Name and position held by second Signatory/Name of Witness) |  | (Signature of second Signatory/Witness) |
|  |  | …./…./…… |
|  |  |  |

 **Explanatory notes on the signature block**

* If you are an **incorporated association**, you must refer to the legislation incorporating the association as it will specify how documents must be executed. This process may differ between each State and Territory. If an authorised person is executing a document on behalf of the incorporated association, you should be prepared to provide evidence of this authorisation upon request.
* If you are a **company**, generally two signatories are required – the signatories can be two Directors or a Director and the Company Secretary. Affix your **Company Seal**, if required by your Constitution.
* If you are a **company with a sole Director/Secretary**, the Director/Secretary is required to be the signatory in the presence of a witness (the witness date must be the same as the signatory date). Affix your **Company Seal**, if required by your Constitution.
* If you are a **partnership**, the signatory must be a partner with the authority to sign on behalf of all partners receiving the grant. A witness to the signature is required (the witness date must be the same as the signatory date).
* If you are an **individual**, you must sign in the presence of a witness (the witness date must be the same as the signatory date).
* If you are a **university**, the signatory can be an officer authorised by the legislation creating the university to enter into legally binding documents. A witness to the signature is required (the witness date must be the same as the signatory date).
* If you are a **trustee of a Trust**, the signatory must be a trustee (NOT the Trust) – as the trustee is the legal entity entering into the Agreement. The words ‘as trustee of the XXX Trust’ could be included at the end of the name.

**1. Undertaking the Activity**

The Grantee agrees to undertake the Activity in accordance with this Agreement.

**2. Acknowledgements**

The Grantee agrees to acknowledge the Commonwealth’s support in Material published in connection with this Agreement and agrees to use any form of acknowledgment the Commonwealth reasonably specifies.

**3. Notices**

3.1 Each Party agrees to notify the other Party of anything reasonably likely to adversely affect the undertaking of the Activity, management of the Grant or its performance of its other requirements under this Agreement.

3.2 A notice under this Agreement must be in writing, signed by the Party giving notice and addressed to the other Party’s representative.

3.3 The Commonwealth may, by notice, advise the Grantee of changes to the Agreement that are minor or of an administrative nature provided that any such changes do not increase the Grantee’s obligations under this Agreement. Such changes, while legally binding, are not variations for the purpose of clause 7.

**4. Relationship between the Parties**

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A Party is not by virtue of this Agreement the employee, agent or partner of the other Party and is not authorised to bind or represent the other Party.

**5. Subcontracting**

5.1 The Grantee is responsible for the performance of its obligations under this Agreement, including in relation to any tasks undertaken by subcontractors.

5.2 The Grantee agrees to make available to the Commonwealth the details of any of its subcontractors engaged to perform any tasks in relation to this Agreement upon request.

**6. Conflict of interest**

The Grantee agrees to notify the Commonwealth promptly of any actual, perceived or potential conflicts of interest which could affect its performance of this Agreement and agrees to take action to resolve the conflict.

**7. Variation**

This Agreement may be varied in writing only, signed by both Parties.

**8. Payment of the Grant**

8.1 The Commonwealth agrees to pay the Grant to the Grantee in accordance with the Grant Details.

8.2 The Commonwealth may by notice withhold payment of any amount of the Grant where it reasonably believes the Grantee has not complied with this Agreement or is unable to undertake the Activity.

8.3 A notice under clause 8.2 will contain the reasons for any payment being withheld and the steps the Grantee can take to address those reasons.

8.4 The Commonwealth will pay the withheld amount once the Grantee has satisfactorily addressed the reasons contained in a notice under clause 8.2.

**9. Spending the Grant**

9.1 The Grantee agrees to spend the Grant for the purpose of undertaking the Activity only.

9.2 The Grantee agrees to provide a statement signed by the Grantee verifying the Grant was spent in accordance with the Agreement.

**10. Repayment**

10.1 If any of the Grant has been spent other than in accordance with this Agreement or any amount of the Grant is additional to the requirements of the Activity, the Grantee agrees to repay that amount to the Commonwealth unless agreed otherwise.

10.2 The amount to be repaid under clause 10.1 may be deducted by the Commonwealth from subsequent payments of the Grant or amounts payable under another agreement between the Grantee and the Commonwealth.

**11. Record keeping**

The Grantee agrees to maintain records of the expenditure of the Grant.

**12. Intellectual Property**

12.1 Subject to clause 12.2, the Grantee owns the Intellectual Property Rights in Activity Material and Reporting Material.

12.2 This Agreement does not affect the ownership of Intellectual Property Rights in Existing Material.

12.3 The Grantee gives the Commonwealth a non-exclusive, irrevocable, royalty-free licence to use, reproduce, publish and adapt Reporting Material for Commonwealth Purposes.

**13. Privacy**

When dealing with Personal Information in carrying out the Activity, the Grantee agrees not to do anything which, if done by the Commonwealth, would be a breach of an Australian Privacy Principle.

**14. Confidentiality**

The Parties agree not to disclose each other’s confidential information without prior written consent unless required or authorised by law or Parliament.

**15. Insurance**

The Grantee agrees to maintain adequate insurance for the duration of this Agreement and provide the Commonwealth with proof when requested.

**16. Indemnities**

16.1 The Grantee indemnifies the Commonwealth, its officers, employees and contractors against any claim, loss or damage arising in connection with the Activity.

16.2 The Grantee's obligation to indemnify the Commonwealth will reduce proportionally to the extent any act or omission involving fault on the part of the Commonwealth contributed to the claim, loss or damage.

**17. Dispute resolution**

17.1 The Parties agree not to initiate legal proceedings in relation to a dispute unless they have tried and failed to resolve the dispute by negotiation.

17.2 The Parties agree to continue to perform their respective obligations under this Agreement where a dispute exists.

17.3 The procedure for dispute resolution does not apply to action relating to termination or urgent litigation.

**18. Termination for default**

The Commonwealth may terminate this Agreement by notice where it reasonably believes the Grantee:

1. has breached this Agreement; or
2. has provided false or misleading statements in their application for the Grant; or

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1. has become bankrupt or insolvent, entered into a scheme of arrangement with creditors, or come under any form of external administration.

**19. Cancellation for convenience**

19.1 The Commonwealth may cancel this Agreement by notice, due to:

1. a change in government policy; or
2. a Change in the Control of the Grantee, which the Commonwealth believes will negatively affect the Grantee’s ability to comply with this Agreement.

19.2 The Grantee agrees on receipt of a notice of cancellation under clause 19.1 to:

1. stop the performance of the Grantee's obligations as specified in the notice; and
2. take all available steps to minimise loss resulting from that cancellation.

19.3 In the event of cancellation under clause 19.1, the Commonwealth will be liable only to:

1. pay any part of the Grant due and owing to the Grantee under this Agreement at the date of the notice; and
2. reimburse any reasonable expenses the Grantee unavoidably incurs that relate directly to the cancellation and are not covered by 19.3(a).

19.4 The Commonwealth’s liability to pay any amount under this clause is subject to:

1. the Grantee's compliance with this Agreement; and
2. the total amount of the Grant.

19.5 The Grantee will not be entitled to compensation for loss of prospective profits or benefits that would have been conferred on the Grantee.

**20. Survival**

Clauses 10, 12, 13, 14, 16, 20 and 21 survive termination, cancellation or expiry of this Agreement.

**21. Definitions**

In this Agreement, unless the contrary appears:

* **Activity** means the activities described in the Grant Details.
* **Activity Completion Date** means the date or event specified in the Grant Details.
* **Activity Material** means any Material, other than Reporting Material, created or developed by the Grantee as a result of the Activity and includes any Existing Material that is incorporated in or supplied with the Activity Material.
* **Agreement** means the Grant Details, Supplementary Terms (if any), the Commonwealth General Grant Conditions and any other document referenced or incorporated in the Grant Details.
* **Agreement End Date** means the date or event specified in the Grant Details.
* **Asset** means any item of property purchased wholly, or in part, with the use of the Grant, excluding Activity Material Intellectual Property Rights and real property.
* **Australian Privacy Principle** has the same meaning as in the *Privacy Act 1988*.
* **Change in the Control** means any change in any person(s) who directly exercise effective control over the Grantee.
* **Commonwealth** means the Commonwealth of Australia as represented by the Commonwealth entity specified in the Agreement and includes, where relevant, its officers, employees, contractors and agents.
* **Commonwealth General Grant Conditions** means this document.
* **Commonwealth Purposes** does not include commercialisation or the provision of the Material to a third party for its commercial use.
* **Existing Material** means Material developed independently of this Agreement that is incorporated in or supplied as part of Reporting Material or Activity Material.
* **Grant** means the money, or any part of it, payable by the Commonwealth to the Grantee as specified in the Grant Details and includes any interest earned by the Grantee on that money once the Grant has been paid to the Grantee.
* **Grantee** means the legal entity specified in the Agreement and includes, where relevant, its officers, employees, contractors and agents.
* **Grant Details** means the document titled Grant Details that forms part of this Agreement.
* **Intellectual Property Rights** means all copyright, patents, registered and unregistered trademarks (including service marks), registered designs, and other rights resulting from intellectual activity (other than moral rights under the *Copyright Act 1968*).
* **Material** includes documents, equipment, software (including source code and object code versions), goods, information and data stored by any means including all copies and extracts of them.
* **Party** means the Grantee or the Commonwealth.
* **Personal Information** has the same meaning as in the *Privacy Act 1988.*
* **Reporting Material** means all Materialwhich the Grantee is required to provide to the Commonwealth for reporting purposes as specified in the Grant Details and includes any Existing Material that is incorporated in or supplied with the Reporting Material.

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